NEBRASKA WORKERS' COMPENSATION COURT

EDI for Claims Release 3.1
Frequently Asked Questions
[Updated: May 8, 2019]

Contents

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General

Q-1:   How do I contact NWCC with questions on EDI Claims 3.1 Reporting?

A-1:   Send an email to the NWCC EDI Support Mailbox at nwccedi@iso.com.

Q-2:   Where are NWCC's EDI Claims 3.1 Requirements found?

A-2:   Please refer to the "Implementation Guide" link under the EDI Resources section (https://nwccedi.info/) for the Event Table, Element Requirement Table, and Edit Matrix.

Q-3:   What are some commonly used acronyms?

A-3:   IAIABC is the International Association of Accident Boards and Commissions

FROI or First Report of Injury or Illness

SROI or Second Report of Injury

JC or Jurisdiction Code is a code for NE or Nebraska

JCN or Jurisdiction Claim Number is simply a unique number assigned to each FROI we receive.

DN or Data Element Number is a label for each data type or cell

MTC or Maintenance Type Code is simply a code used to report business events and communicate which type of FROI or SROI report is being submitted.

Q-4:   How do I send EDI Claims Release 3.1 FROI and SROI reports to the NWCC?

A-4:   You may send the EDI Claims Release 3.1 FROI and SROI reports in the following ways:
1. Report via a Direct Connection using SFTP from your own claims system. There is no cost to use this connection.
2. Use the NWCC Web Entry system. The Web Entry system is a free service for companies with low claim volume (about 100 claims or less in a year). The Web Entry system requires the data entry and submission of each individual claim.
3. Use a Third-Party EDI Service Vendor. Vendor costs may apply depending on the services you request from the vendor.

Trading Partners

Q-1: How does my company become a trading partner with NWCC?

A-1: Complete and submit a Trading Partner Profile. Please refer to the Registration Instructions link under the Trading Partner Profile section (https://www.nwccedi.info/). Each Trading Partner profile is reviewed and must be approved by NWCC. An approved Trading Partner must complete successful testing. Note that NWCC periodically sends their Trading Partner list to the IAIABC upon request.

Q-2: How do I become a EDI Service Vendor for Nebraska EDI trading partners?

A-2: All certified vendors should send an email to nwccedi@iso.com with a request to become a vendor. When NWCC receives your request, an internal approval process will be conducted. All certified vendors who become approved reporters or senders of information to NWCC must also complete and pass the necessary testing procedures.

Q-3: How do I decide which submission method to choose?

A-3: Consider the volume before deciding on one of the following methods of submission: (i) SFTP (Secure File Transfer Protocol) means you will develop the programs and IAIABC claims release 3.1 flat file content yourself; (ii) EDI Vendor means a company will provide you with EDI services; or (iii) NWCC Web Entry is used for low volume and means you will type the data in form by form.

Q-4: Where do I find information concerning IAIABC EDI license fees?

A-4: Please refer to the IAIABC website (http://www.iaiabc.org/) under the EDI Standards –Licensing section for additional information.

Q-5: What steps must be taken in order to receive a Direct SFTP connection from NWCC?

A-5: In order to receive a Direct SFTP connection from Nebraska, the Trading Partner must be an IAIABC member. The IAIABC sets the industry standards for EDI reporting and promotes experience with the reporting standards. Membership allows access and use of the IAIABC standards, which is what current Direct SFTP Trading Partners and EDI Vendors use.

Q-6: How do I sign up to use the Nebraska free web system to submit FROI and SROI Reports to NWCC?

A-6: The Trading Partner Profile has a section called Filing Method. Under this section when completing this Trading Partner Profile, please *select 'NWCC Web Entry: Select NWCC Web Entry if you will use the NWCC EDI FROI SROI Web Reporting System'*. Training and access to the system will be provided after the submission has been reviewed and approved.

Q-7: As a Third-Party Administrator, we administer claims for a number of Insured/Risk Management Pools/Self-Insured Employers. Are we required to register each Insured/Risk Management Pool/Self-Insured Employer as a separate trading partner?

A-7: No, one registration is required where you will list each company in the Subsidiary Insurer section of the form.

Testing

Q-1:   What are "test" transmissions?

A-1:   Testing is a process used to determine whether you and NWCC can send and receive EDI reports. Testing with NWCC requires adherence to the NWCC Test Schedule and the submission of required test transactions.
Testing serves two purposes:
1) It ensures that all technical details of the transmission process are correct for all three systems -- reporters, the network, and NWCC.
2) It requires that the data details within the specific transaction types meet NWCC requirements.
The sender will be responsible for evaluating the acknowledgement transactions NWCC returns in response to the test.
For additional information, see the "Nebraska Workers' Compensation Court (NWCC) EDI Claims Release 3.1 Test Plan - Testing Requirements and Nebraska EDI Testing Feedback Request List R3.1" on the EDI website under the Implementation Information page.

Q-2:   While testing, can a trading partner test the FROI and the SROI together?

A-2:   NWCC recommends that trading partners successfully test and implement FROI transmissions before testing SROI transmissions. NWCC expects to use the data from the FROI transactions for consideration in processing the SROI transactions, so errors with FROI could impact SROI testing. However, exceptions may be considered upon request.

Q-3:   Will I need to test if I use the Nebraska free web system to submit FROI and SROI Reports?

A-3:   No the system is tested and ready for FROI SROI report submissions.

Production

Q-1:   When will NWCC approve me for production?

A-1:   NWCC's goal is to move our trading partners into production as quickly as possible after the testing process is successful. The testing process is described in the "NWCC Release 3.1 Implementation Test Plan" under the EDI Implementation Test Plan Overview on the Implementation Information page (https://nwccedi.info/impl-info).

Q-2:   Once a trading partner has moved into production, where should questions be directed?

A-2:   All questions should be directed to the NWCC EDI Support Mailbox at nwccedi@iso.com.

Q-3:   Where is the Test/Production Indicator found?

A-3:   The Test/Production Indicator is DN0104, located on the Header Record. Refer to the IAIABC EDI Release 3.1 Implementation Guide (www.iaiabc.org) for more information regarding data definitions and the specific construction of the Header Record.

Q-4:   How long after I send a transmission to NWCC should I expect to receive an electronic acknowledgement?

A-4:   The cut-off for receipt of data from NWCC Trading Partners will be **12:29 AM CST, Monday through Friday**. Processing of NWCC Trading Partner Data will start at **12:30 AM CST**. On Saturday and Sunday, Trading Partners can connect and upload FROI/SROI files which will be processed Monday at **12:30 AM CST**. Nebraska Trading Partners will receive acknowledgment files no later than **4:00 AM CST** pending any unforeseen processing issues. If you have not received an acknowledgement within that time frame and have not received an advisory, please contact the NWCC EDI Support Mailbox at NWCCedi@iso.com.

Q-5:   How can the trading partner or a claim administrator monitor the data quality of their EDI transmissions once in production?

A-5:   If a "TR" (Transaction Rejected) acknowledgement was received, it indicates that the transaction (record) you sent has been received and rejected, as an error was found on one or more data elements. The transaction was not accepted by the jurisdiction. The error(s) should be reviewed quickly to correct and resubmit the transaction with the same MTC. Timely resolution of rejected reports is critical because the transaction has not been accepted into the jurisdiction's system. If an error indicates a duplicate transaction or invalid event sequence, then resubmission of the same MTC would not be required. NWCC recommends that the Claim Administrator develop tools to use the acknowledgment details to track overall performance.

Q-6:   I have reached production status and a problem develops with the system I am using to generate or transmit EDI reports to NWCC. What should I do to make sure NWCC is informed and that Nebraska receives all my claims in a timely fashion?

A-6:   Immediately after a trading partner becomes aware that transmissions are not being sent or received by NWCC, contact NWCC via email (nwccedi@iso.com) right away to develop a temporary reporting plan.

Production

Q-7:   A trading partner is approved for production status. The trading partner changes software packages, vendor, adds a newly acquired company or changes source system, etc. Does the trading partner need to revert back to the testing status again?

A-7:   If a trading partner changes any aspect of their approved process or system, then the trading partner must repeat the test process with NWCC. NWCC recommends that the trading partner continue with the approved production process while testing any new process, software or system. Considerations on the testing that will be required will be determined based on the situation. Any change in the manner by which data enters the reporting stream must be brought to our attention, and will probably involve discussion, testing and updates made to the Trading Partner Profile. If this situation arises, please contact NWCC via email (nwccedi@iso.com) immediately.

Transactions, Edits & Requirements

Q-1:   What does "accepted with errors" mean?

A-1:   The transaction (record) you sent has been received, edited and accepted. However, one or more non-critical data elements in the record have an error. The acknowledgement you received indicates a "TE" status, accepted with errors, for the invalid data element. NWCC requires that you submit a MTC CO Correction report within the required time frames. For more information, see NWCC's Event Table for MTC CO (Correction) link located on the NWCC Implementation Guide page (https://nwccedi.info/guide).

Q-2:   What edits will NWCC apply?

A-2:   NWCC's edits and complete requirements are located on the NWCC Implementation Guide page (https://nwccedi.info/guide).

Q-3:   Is there a difference between an EDI MTC CO Correction and a MTC 02 Change?

A-3:   Yes, there is a very important distinction between Corrections and Changes. A Change (MTC 02) is used when the Claim Administrator finds data they previously sent to NWCC is now different. The Correction (MTC CO) is a direct response to an acknowledgement report that showed one or more data elements were accepted with error (TE status). The CO must match a previously sent transaction and must contain the data element(s) or conditions identified on the acknowledgment as being in error. Refer to IAIABC Claims Release 3.1 Implementation Guide for additional information (www.iaiabc.org).

Q-4:   What should be transmitted to NWCC if a key Match Data is changed?

A-4:   Send a FROI MTC 02 Change transaction to change any key match field(s). Note: Only one (1) key match field can be changed with a FROI MTC 02 at a time unless noted otherwise on the Edit Matrix Match Data Table as multiple FROI 02 change transactions may be required. Refer to NWCC's Match Data Table located on the NWCC Implementation Guide page (https://nwccedi.info/guide).

Q-5:   Is a Jurisdiction Claim Number (JCN) required when I input my Original FROI Reports?

A-5:   A JCN will be assigned when an initial FROI report is submitted and a TA (Transaction Accepted) or TE (Transaction Accepted with Error) acknowledgment is received. For legacy claims, a JCN that was assigned on the R1 report submission will be returned when a FROI UR report is submitted that receives a TA (Transaction Accepted) or TE (Transaction Accepted with Error) acknowledgment.

Q-6:   Is the NWCC Jurisdiction Claim Number required on all transactions following the initial First Report of Injury?

A-6:   Yes. Refer to NWCC's Match Data Table & Element Requirement Table located on the NWCC Implementation Guide page (https://nwccedi.info/guide). **DN0005** Jurisdiction Claim Number is a key match item for all reports following the initial First Report of Injury.

Q-7:   What Employer FEIN should be used for employers with multiple corporate relationships?

A-7:   Use the FEIN of the corporate entity that has the legal responsibility for handling a given workers' compensation claim.

Q-8:   What coding for Part of Body, Nature of Injury, and Cause of Event will be used?

A-8:   Please see the Workers' Compensation Insurers Organization (WCIO) injury description table – Part/Nature/Cause.
https://www.wcio.org/Document%20Library/InjuryDescriptionTablePage.aspx

Q-9:   Can you please clarify the NAICS version – will all versions be accepted or is Nebraska limited to a specific version?

A-9:   If required on MTC, then Industry Code must be 6 characters and a valid NAICS Code (2012 or 2017).

Q-10:   Is a SROI PY still needed in Release 3.1 for first medical payment?

A-10:   For EDI Claims Release 3.1, NWCC will no longer require a SROI PY for the first payment of medical on a claim and will pick up the medical information from the OBT codes. For Medical Only claims, this would be on the SROI UR (if legacy claim), SROI SA and SROI FN.

Legacy Claims

Q-1:   What is a Legacy claim?

A-1:   A Legacy claim is any open claim previously reported in R1 (prior to NWCC's EDI Claims R3.1 Implementation Date) for which a Jurisdiction Claim Number (JCN) has been assigned.

Q-2:   Should electronic reports be filed on legacy claims?

A-2:   Yes, Electronic First Reports and Subsequent Reports must be sent on all claims that are open or reopened in the claim administrator's system. Refer to NWCC Event Table located on the NWCC Implementation Guide page (https://nwccedi.info/guide).

Q-3:   For legacy claims, how do I determine if a claim is open or re-opened? Is this based on the status in the claim administrator's system?

A-3:   If the correct match data elements are present, a legacy claim will be located, and an acknowledgment will be sent with the JCN, which will be identical to the R1 JCN number previously assigned by NWCC's system.

Q-4:   Are you expecting FROIs Update Report (UR) for claims that had either Indemnity or Medical previously paid only, or would you expect a FROI UR on a claim with no payments that is open in the claim administrator's claim system?

A-4:   For reference, see the NWCC Event Table for FROI UR: The FROI UR is required prior to submitting any FROI in R3.1 for ONLY Open or Re-opened Legacy Claims.
The FROI UR is intended to match a claim previously reported and to set the picture for R3.1 reporting. Note: NWCC expects the FROI UR report submissions to be completed by 7-1-19 for these claims. If a claim re-opens after 7-1-19, then the same Report Trigger shown above should be followed.